



Please type a plus sign (+) inside this box ->



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/749,061	
	Filing Date	December 30, 2003	
	Confirmation Number	Unassigned	
	First Named Inventor	Geoffrey H. Willis	
	Group Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	19	Attorney Docket Number	GUID-034

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (2 Pgs.) <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Request for Approval of Proposed Drawing Amendment <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-Forms 1449 (16 pgs.) 115 References Postcard
Remarks		

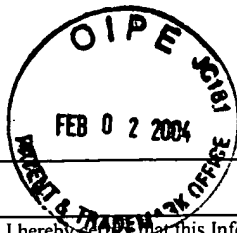
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

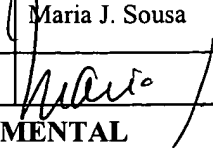
Firm or Individual Name	ALAN W. CANNON, Reg. No. 34,977
Signature	
Date	January 21, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450.	
Typed or printed name	Maria J. Sousa
Signature	
Date	January 21, 2004

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450.



CERTIFICATE OF MAILING			
I hereby certify that this Information Disclosure Statement is being hand delivered to the United States Patent and Trademark Office at: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313			
Typed or Printed Name		Maria J. Sousa	
Signature		Date	January 21, 2004
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	GUID-034	
	First Named Inventor	Geoffrey H. Willis	
	Application Number	10/749,061	
	Filing Date	December 30, 2003	
	Group Art Unit	Unassigned	
	Examiner Name	Unassigned	
	Title: "Organ Manipulator and Positioner and Methods of Using the Same"		

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration.

Forms PTO-1449 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. Copies of the U.S. references cited are not included herein, pursuant to the waiver of the requirement under 37 CFR 1.98(a)(2)(i) for patent applications filed after June 30, 2003, provisions of 37 C.F.R. Section 1.98(d). Copies of foreign and PCT references are included for the Examiner's review.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-2653, order No. GUID-034 may be charged therefore.

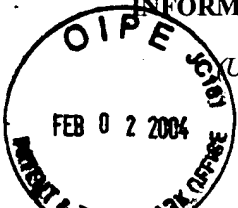
Date: January 21, 2004

LAW OFFICE OF ALAN W. CANNON
834 South Wolfe Road
Sunnyvale, CA 94086
Telephone: (408) 736-3554
Facsimile: (408) 736-3564

Respectfully submitted,
LAW OFFICE OF ALAN W. CANNON

By: 

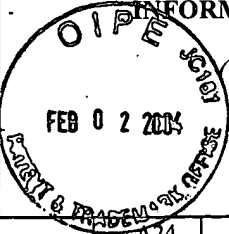
Alan W. Cannon
Registration No. 34,977

 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO. GUID-034	SERIAL NO. 10/749,061
	Confirmation Number	Unassigned
	APPLICANT Geoffrey H. Willis	
	FILING DATE December 30, 2003	GROUP Unassigned

US PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	452,131	5/12/1891	Haughawout			
	A2	810,675	01/16/1906	Myers			
	A3	1,706,500	03/26/1929	Smith			
	A4	2,082,782	6/08/1937	Allen			
	A5	2,296,793	09/22/1942	Kirschbaum			
	A6	2,590,527	03/25/1952	Fluck			
	A7	2,693,795	11/09/1954	Grieshaber			
	A8	2,863,444	12/09/1958	Winsten			
	A9	3,361,133	01/02/1968	Kimberley et al.			
	A10	3,392,722	07/16/1968	Jorgensen			
	A11	3,466,079	09/09/1969	W.K. Mammel			
	A12	3,584,822	06/15/1971	Oram			
	A13	3,683,926	08/15/1972	Suzuki			
	A14	3,720,433	03/13/1973	Rosfelder			
	A15	3,783,873	01/08/1974	Harvey Barry Jacobs			
	A16	3,807,406	04/30/1974	Rafferty et al.			
	A17	3,858,926	01/07/1975	Ottenhues			
	A18	3,882,855	05/13/1975	Shulte et al.			
	A19	3,912,317	10/14/1975	Ohnaka, et al.			
	A20	3,916,909	11/04/1975	Kletschka, et al.			
	A21	3,983,863	10/05/1976	Janke et al.			
	A22	4,047,532	09/13/1977	Phillips et al.			
	A23	4,048,987	09/20/1977	Hurson			

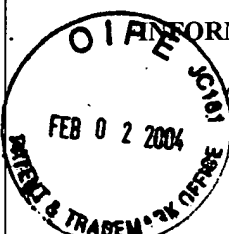
EXAMINER	DATE CONSIDERED	1
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
					Confirmation Number		Unassigned	
					APPLICANT Geoffrey H. Willis			
					FILING DATE December 30, 2003		GROUP Unassigned	
	A24	4,049,000	09/20/1977	Williams				
	A25	4,049,002	09/20/1977	Kletschka et al.				
	A26	4,049,484	09/20/1977	Priest, et al.				
	A27	4,052,980	10/11/1977	Grams et al				
	A28	4,094,484	06/13/1978	Galion et al.				
	A29	4,096,853	06/27/1978	Hanfried Weigand				
	A30	4,096,864	06/27/1978	Kletschka et al.				
	A31	4,217,890	08/19/1980	Owens				
	A32	4,226,228	10/07/1980	Shin et al.				
	A33	4,230,119	10/28/1980	Blum				
	A34	4,300,564	11/17/1981	Furihata				
	A35	4,306,561	12/22/1981	Luis de Medinaceli				
	A36	4,350,160	09/21/1982	Kolesov, et al.				
	A37	4,366,819	01/04/1983	Kaster				
	A38	4,368,736	01/18/1983	Kaster				
	A39	4,421,107	12/20/1983	Estes et al.				
	A40	4,428,368	01/31/1984	Torii				
	A41	4,434,791	03/06/1984	Darnell				
	A42	4,457,300	07/03/1984	Budde				
	A43	4,461,284	07/24/1984	Fackler				
	A44	4,492,229	01/28/1985	Grunwald				
	A45	4,617,916	10/21/1986	LeVahn et al.				
	A46	4,627,421	12/09/1986	Symbas et al.				
	A47	4,637,377	01/20/1987	Loop				
	A48	4,646,747	03/03/1987	Lundback				
	A49	4,688,570	08/25/1987	Kramer et al.				

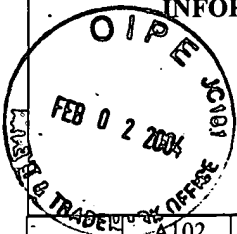
EXAMINER	DATE CONSIDERED	2
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
				Confirmation Number		Unassigned	
				APPLICANT Geoffrey H. Willis			
				FILING DATE December 30, 2003		GROUP Unassigned	
A50	4,702,230	10/27/1987	Pelta				
A51	Des. 293,470	12/29/1987	Adler				
A52	4,718,418	01/12/1988	L'Esperance, Jr.				
A53	4,726,356	02/23/1988	Santilli et al.				
A54	4,726,358	02/23/1988	Brady				
A55	4,736,749	04/12/1988	Lundback				
A56	4,747,395	05/31/1988	Brief				
A57	4,754,746	07/05/1988	Cox				
A58	4,803,984	02/14/1989	Narayanan et al.				
A59	4,808,163	02/28/1989	Laub				
A60	4,827,926	05/09/1989	Carol				
A61	4,829,985	05/16/1989	Couetil				
A62	4,841,967	06/27/1989	Chang et al.				
A63	4,852,552	08/01/1989	Chaux				
A64	4,854,318	08/08/1989	Solem et al.				
A65	4,858,552	08/22/1989	Glatt et al.				
A66	4,863,133	09/05/1989	Bonnell				
A67	4,865,019	09/12/1989	Phillips				
A68	4,884,559	12/05/1989	Collins				
A69	4,904,012	02/27/1990	Nishiguchi et al.				
A70	4,925,443	05/15/1990	Heilman et al.				
A71	4,949,707	08/21/1990	LeVahn et al.				
A72	4,949,927	08/21/1990	Madocks et al.				
A73	4,955,896	09/11/1990	freeman				
A74	4,957,477	09/18/1990	Lundback				
A75	4,962,758	10/16/1990	Lasner et al.				

EXAMINER	DATE CONSIDERED	3
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) (Use several sheets if necessary)				ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
				Confirmation Number		Unassigned	
				APPLICANT Geoffrey H. Willis			
				FILING DATE December 30, 2003		GROUP Unassigned	
	A76	4,971,037	11/02/1990	Pelta			
	A77	4,973,300	11/27/1990	Wright			
	A78	4,989,587	02/05/1991	Farley			
	A79	4,991,578	02/12/1991	Cohen			
	A80	4,993,862	02/19/1991	Pelta			
	A81	5,009,660	04/23/1991	Clapham			
	A82	5,011,469	04/30/1991	Buckberg et al.			
	A83	5,019,086	05/28/1991	Neward			
	A84	5,025,779	06/25/1991	Bugge			
	A85	5,036,868	08/06/1991	Berggren et al.			
	A86	5,037,428	08/06/1991	Picha et al.			
	A87	5,052,373	10/01/1991	Michelson			
	A88	5,053,041	10/01/1991	Ansari et al.			
	A89	5,080,088	01/14/1992	LeVahn			
	A90	5,098,369	03/24/1992	Heilman et al.			
	A91	5,119,804	06/09/1992	Anstadt			
	A92	5,125,395	06/30/1992	Adair			
	A93	5,131,905	07/21/1992	Grooters			
	A94	5,133,724	07/28/1992	Wilson Jr. et al.			
	A95	5,139,517	08/18/1992	Corral			
	A96	5,150,706	09/29/1992	Cox et al.			
	A97	5,152,777	10/06/1992	Goldberg et al.			
	A98	5,159,921	11/03/1992	Hoover			
	A99	5,167,223	12/01/1992	Koros et al.			
	A100	5,171,254	12/15/1992	Sher			
	A101	Re. 34,150	12/29/1992	Santilli, deceased et al.			

EXAMINER	DATE CONSIDERED	4
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) (Use several sheets if necessary)					ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
					Confirmation Number		Unassigned	
					APPLICANT Geoffrey H. Willis			
					FILING DATE December 30, 2003		GROUP Unassigned	
A102	5,192,070	03/09/1993	Nagai et al.					
A103	5,196,003	03/23/1993	Bilweis					
A104	5,231,974	08/03/1993	Giglio et al.					
A105	5,256,132	10/26/1993	Snyders					
A106	5,268,640	12/07/1993	Du et al.					
A107	5,287,861	02/22/1994	Wilk					
A108	5,290,082	03/01/1994	Palmer et al.					
A109	5,293,863	03/15/1994	Zhu et al.					
A110	5,300,087	04/05/1994	Knoepfler					
A111	5,318,013	07/07/1994	Wilk					
A112	5,336,252	08/09/1994	Cochen					
A113	5,348,259	09/20/1994	Blanco et al.					
A114	5,363,882	11/15/1994	Chikama					
A115	5,382,756	01/17/1995	Dagan					
A116	5,383,840	01/24/1995	Heilman et al.					
A117	5,417,709	05/23/1995	Charles R. Slater					
A118	5,425,705	06/20/1995	Evard et al.					
A119	5,437,651	08/01/1995	Todd et al.					
A120	5,452,733	09/26/1995	Sterman et al.					
A121	5,453,078	09/26/1995	Valentine et al.					
A122	5,456,714	10/10/1995	Owen					
A123	5,467,763	11/21/1995	McMahon et al.					
A124	5,480,425	01/02/1996	Ogilvie					
A125	5,484,391	01/16/1996	Buckman Jr. et al.					
A126	5,498,256	03/12/1996	Furnish					
A127	5,503,617	04/02/1996	Jako					

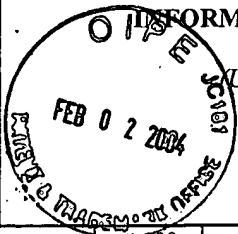
EXAMINER	DATE CONSIDERED	5
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) (Use several sheets if necessary)				ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
				Confirmation Number		Unassigned	
				APPLICANT Geoffrey H. Willis			
				FILING DATE December 30, 2003		GROUP Unassigned	
		5,509,890	04/23/1996	Kazama			
	A129	5,512,037	04/30/1996	Russell et al.			
	A130	5,514,075	05/07/1996	Moll et al.			
	A131	5,514,076	05/07/1996	Ley			
	A132	5,520,610	05/28/1996	Giglio et al.			
	A133	5,522,819	06/04/1996	Graves et al.			
	A134	5,529,571	06/25/1996	Daniel			
	A135	5,536,251	07/16/1996	Evard et al.			
	A136	5,547,458	08/20/1996	Ortiz et al.			
	A137	5,569,274	10/29/1996	Rapacki et al.			
	A138	5,571,074	11/05/1996	Buckman Jr. et al.			
	A139	5,571,215	11/05/1996	Sterman et al.			
	A140	5,573,496	11/12/1996	McPherson et al.			
	A141	5,582,580	12/10/1996	Buckman Jr. et al.			
	A142	5,607,421	03/04/1997	Jeevanandam et al.			
	A143	5,607,446	03/04/1997	Beehler et al.			
	A144	5,613,937	03/25/1997	Garrison et al.			
	A145	5,632,746	05/27/1997	Middleman et al.			
	A146	5,651,378	07/29/1997	Metheny et al.			
	A147	5,662,300	09/02/1997	Michelson			
	A148	5,667,480	09/16/1997	Knight et al.			
	A149	5,713,951	02/03/1998	Garrison et al.			
	A150	5,727,569	03/17/1998	Benetti et al.			
	A151	5,728,151	03/17/1998	Garrison et al.			
	A152	5,730,757	03/24/1998	Benetti et al.			
	A153	5,735,290	04/07/1998	Sterman et al.			

EXAMINER	DATE CONSIDERED	6
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

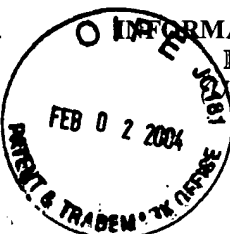
INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
				Confirmation Number		Unassigned	
				APPLICANT Geoffrey H. Willis			
				FILING DATE December 30, 2003		GROUP Unassigned	
		5,749,892	05/12/1998	Vierra et al.			
	A155	5,755,660	05/26/1998	Tyagi			
	A156	5,766,151	06/19/1998	Valley et al.			
	A157	5,772,583	06/30/1998	Wright et al.			
	A158	5,782,746	07/21/1998	Wright			
	A159	5,795,291	08/18/1998	Koros et al.			
	A160	5,797,960	08/25/1998	Stevens et al.			
	A161	5,799,661	09/01/1998	Boyd et al.			
	A162	5,807,243	09/15/1998	Vierra et al.			
	A163	5,813,410	09/29/1998	Levin			
	A164	5,818,231	10/06/1998	Smith			
	A165	5,836,311	11/17/1998	Borst et al.			
	A166	5,864,275	01/26/1999	Ohashi et al.			
	A167	5,865,730	02/02/1999	Fox et al.			
	A168	5,868,763	02/09/1999	Spence et al.			
	A169	5,888,247	03/30/1999	Benetti			
	A170	5,885,271	03/23/1999	Hamilton et al.			
	A171	5,899,425	04/04/1999	Corey Jr. et al.			
	A172	5,891,017	04/06/1999	Swindle et al.			
	A173	5,894,843	04/20/1999	Benetti et al.			
	A174	5,906,607	05/25/1999	Taylor et al.			
	A175	5,908,378	06/01/1999	Kovacs et al.			
	A176	5,921,979	07/13/1999	Kovacs et al.			
	A177	5,927,284	07/27/1999	Borst et al.			
	A178	5,947,896	09/07/1999	Sherts et al.			
	A179	5,957,835	09/28/1999	Anderson et al.			

EXAMINER	DATE CONSIDERED	7
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
					Confirmation Number		Unassigned	
					APPLICANT Geoffrey H. Willis			
					FILING DATE December 30, 2003		GROUP Unassigned	
	A180	5,967,973	10/19/1999	Charles R. Sherts et al.				
	A181	5,976,069	11/02/1999	Navia et al.				
	A182	5,976,080	11/07/1999	Farascioni et al				
	A183	5,984,864	11/16/1999	Fox et al.				
	A184	6,007,486	12/28/1999	Hunt et al				
	A185	6,010,531	01/04/2000	Donlon et al.				
	A186	6,013,027	01/11/2000	Khan et al.				
	A187	6,015,378	01/18/2000	Borst et al.				
	A188	6,015,427	01/18/2000	Mueller et al.				
	A189	6,019,722	02/01/2000	Spence et al.				
	A190	6,027,476	02/22/2000	Sterman et al.				
	A191	6,029,671	02/29/2000	Stevens et al.				
	A192	6,030,392	02/29/2000	Dakov				
	A193	6,032,672	03/07/2000	Taylor				
	A194	6,033,362	03/07/2000	Cohn				
	A195	6,036,641	03/14/2000	Taylor et al.				
	A196	6,036,703	03/14/2000	Evans et al.				
	A197	6,042,539	03/28/2000	Harper et al.				
	A198	6,050,266	04/18/2000	Benetti et al.				
	A199	6,110,187	08/29/2000	Brian S. Donlon				
	A200	6,120,436	09/19/2000	Anderson et al.				
	A201	6,139,492	10/31/2000	Vierra et al.				
	A202	6,149,583	11/21/2000	Vierra et al.				
	A203	6,159,201	12/12/2000	Hamilton et al.				
	A204	6,183,486	02/06/2001	Snow et al.				
	A205	6,193,652	02/27/2001	Berky et al.				

EXAMINER**DATE CONSIDERED****8**

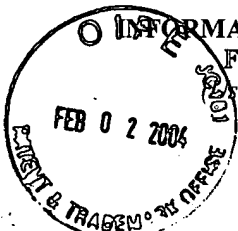
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
					Confirmation Number		Unassigned	
					APPLICANT Geoffrey H. Willis			
					FILING DATE December 30, 2003		GROUP Unassigned	
	A206	6,210,323	04/03/2001	Gilhuly et al.				
	A207	6,213,940	04/10/2001	Sherts et al.				
	A208	6,213,941	04/10/2001	Beneti et al.				
	A209	6,231,585	05/15/2001	Takahashi et al.				
	A210	6,251,065	06/26/2001	Kochamba				
	A211	6,264,605	07/24/2001	Scirica et al.				
	A212	6,315,717	11/13/2001	Benetti et al.				
	A213	6,328,688	12/11/2001	Borst et al.				
	A214	6,334,843	01/01/2002	Borst et al.				
	A215	6,336,898	01/08/2002	Borst et al.				
	A216	6,338,712	01/15/2002	Spence et al.				
	A217	6,346,077	02/12/2002	Taylor et al.				
	A218	6,350,229	02/26/2002	Borst et al.				
	A219	6,361,493	03/26/2002	Spence et al.				
	A220	6,364,826	04/02/2002	Borst et al.				
	A221	6,371,906	04/16/2002	Borst et al.				
	A222	6,371,910	04/16/2002	Zwart et al.				
	A223	6,375,611	04/23/2002	Voss et al.				
	A224	6,390,976	05/21/2002	Borst et al.				
	A225	6,394,948	05/28/2002	Borst et al.				
	A226	6,394,951	05/28/2002	Taylor et al.				
	A227	6,398,726	06/04/2002	Romans et al.				
	A228	6,406,424	06/18/2002	Williamson et al.				
	A229	6,447,443	09/10/2002	Keogh et al.				

EXAMINER	DATE CONSIDERED	9
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
				Confirmation Number		Unassigned	
				APPLICANT Geoffrey H. Willis			
				FILING DATE December 30, 2003		GROUP Unassigned	
		6,458,079	10/01/2002	Cohn et al.			
A231		6,464,629	10/15/2002	Boone et al.			
A232		6,464,630	10/15/2002	Borst et al.			
A233		6,468,265	10/22/2002	Evans et al.			
A234		6,478,029	11/12/002	Boyd et al.			
A235		6,478,729	11/12/002	Rogers et al.			
A236		6,482,151	11/19/2002	Vierra et al.			
A237		6,494,211	12/17/2002	Boyd et al.			
A238		6,503,245	01/07/2003	Palmer et al.			
A239		6,506,149	01/14/2003	Peng et al.			
A240		6,537,212	03/25/2003	Sherts et al.			
A241		6,565,508	05/20/2003	Scirica et al.			
A242		6,589,166	07/08/2003	Knight et al.			
A243		6,592,573	07/15/2003	Castaneda et al.			
A244		6,607,479	08/19/2003	Kochamba et al.			
A245		6,610,008	08/26/2003	Spence et al.			
A246		6,610,009	08/26/2003	Person et al.			
United States Publication Document:							
A247	PUB. 2020161285	10/31/2002	Spence et al.	Class	Subclass	Translation Yes / No	

EXAMINER	DATE CONSIDERED	10
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

 <p>INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i></p>	ATTY. DOCKET NO. GUID-034	SERIAL NO. 10/749,061
	Confirmation Number Unassigned	
	APPLICANT Geoffrey H. Willis	
	FILING DATE December 30, 2003	GROUP Unassigned

FOREIGN PATENT DOCUMENTS

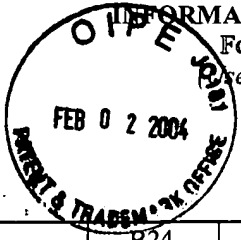
FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes / No	
	B1	168,216	09/01/1921	United Kingdom				
	B2	SU 938967	07/05/1982	Soviet Union			X	
	B3	DE 3138589 A1	04/7/1983	Germany				X
	B4	WO 87/04081	07/16/1987	PCT				
	B5	WO 88/00481	01/28/1988	PCT				
	B6	EP 0 293 760 A2	12/07/1988	EPO				
	B7	EP 0 293 760 A3	12/07/1988	EPO				
	B8	EP 0 293 760 B1	12/07/1988	EPO				
	B9	DE 9004513	06/28/1990	Germany				
	B10	GB 2 233 561 A	01/16/1991	United Kingdom				
	B11	DE 4139695 A1	06/3/1993	Germany				X
	B12	GB 2 267 827 A	12/22/1993	United Kingdom				
	B13	EP 0 630 629 A1	05/17/1994	EPO				
	B14	WO 94/14383	07/07/1994	PCT				
	B15	WO 94/18881	09/01/1994	PCT				
	B16	WO 95/01757	01/19/1995	PCT				
	B17	EP 668 058A1	02/10/1995	EPO				
	B18	WO 95/15715	06/15/1995	PCT				
	B19	WO 95/17127	06/29/1995	PCT				
	B20	WO 96/00033	01/04/1996	PCT				
	B21	WO 96/40354	12/19/1996	PCT			X	
	B22	WO 97/10753	03/27/1997	PCT			X	
	B23	EP 0 820 721 A1	07/25/1997	EP				

EXAMINER**DATE CONSIDERED**

11

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) (Use several sheets if necessary)					ATTY. DOCKET NO. GUID-034		SERIAL NO. 10/749,061	
					Confirmation Number		Unassigned	
					APPLICANT Geoffrey H. Willis			
					FILING DATE December 30, 2003		GROUP Unassigned	

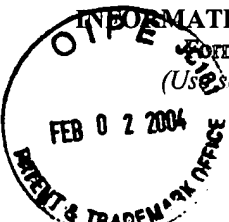
B24	WO 97/26828A	07/31/1997	PCT				
B25	EP 0 791 329 A1	08/27/1997	EP			X	
B26	EP 0 791 330 A2	02/02/1997	EP			X	
B27	EP 0 808 606 A1	11/26/1997	EP			X	
B28	WO 97/40738	11/06/1997	EP			X	
B29	WO 97/40752	11/06/1997	PCT				
B30	EP 0 820 721 A1	01/28/1998	EP			X	
B31	WO 98/37814	09/03/1998	PCT			X	
B32	WO 98/49944	11/12/1998	PCT				
B33	EP 0 919 193 A1	02/06/1999	EP				
B34	WO 99/60929	12/02/1999	PCT			X	
B35	WO 99/60930	12/02/1999	PCT			X	
B36	WO 00/10466	03/02/2000	PCT			X	
B37	WO 01/17437	03/15/2001	PCT				
B38	WO 01/58362 A1	08/16/2001	Mager				

OTHER ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>	
C1	C.W. Akins et al., "Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Grafts Without Cardiopulmonary Bypass," American Heart Journal, Vol. 107, No. 2 February, 1984, pgs. 304-309
C2	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retractor," Ann. Thorac. Surg. 21 (1976) 174
C3	Angelini, G.D., M.D. et al., "A Fiber-Optic Retractor for Harvesting the Internal Mammary Artery," Ann. Thorac. Surg. (1990; 50:314-5)
C4	Angelini, G.D., M.D., "A Simple, Inexpensive Method of Heart Retraction During Coronary Artery Bypass Surgery," Ann. Thora. Surg 46:46-247, Aug 1988
C5	Anstadt, M.P. MD et al., "Direct Mechanical Ventricular Actuation for Cardiac Arrest in Humans," Chest, vol. 100, No. 1, July 1991, pgs. 86-92.
C6	Antinori, C. et al., "A Method of Retraction During Reoperative Coronary Operations Using the Favaloro Retractor," The Society of Thoracic Surgeons: 1989.
C7	Archer, R. DO et al., "Coronary Artery Revascularization Without Cardiopulmonary Bypass," Texas Heart Institute Journal, Vol. 11, No. 1, March 1984, pgs 52-57.

EXAMINER	DATE CONSIDERED	12
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

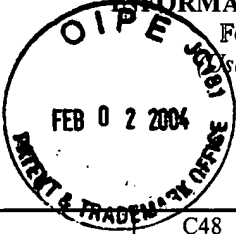
INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO. GUID-034	SERIAL NO. 10/749,061
		Confirmation Number	Unassigned
		APPLICANT Geoffrey H. Willis	
		FILING DATE December 30, 2003	GROUP Unassigned
	C8	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 61:1271-2.	
	C9	Ballantyne, C.M. et al. "Delayed Recovery of Severely 'Stunned' Myocardium With the Support of a Left Ventricular Assist Device after Coronary Artery Bypass Graft Surgery," Journal of the American College of Cardiology, Vol. 10, No. 3, September 1987, pgs. 710-712.	
	C10	Bedellino, M.M., et al., "The Cardiac Rag - Simple Exposure of the Heart," Texas Heart Institute Journal, vol. 15, no. 2, 1988, 134-35.	
	C11	Beg, R.A. et al., "Internal Mammary Retractor," Ann Thorac, Surg., vol. 39, no. 1, January 1985, pgs. 286-287.	
	C12	Benetti, F. J. et al., "Direct Coronary Surgery with Saphenous Vein Bypass Without Either Cardiopulmonary Bypass Graft or Cardiac Arrest," The Journal of Cardiovascular Surgery, Vol. 26, No. 3, May-June, 1985, pgs. 217-222.	
	C13	Benetti, F. J. et al., "Direct Myocardial Revascularization Without Extracorporeal Circulation," Chest, vol. 100, No. 2, August, 1991, pgs. 312-316.	
	C14	Benetti, F. J., "Coronary Revascularization with Arterial Conduits via a Small Thoracotomy and Assisted by Thoracoscopy, Although Without Cardiopulmonary Bypass," Cor Europaeum 4 (1) 22-24 (1995)	
	C15	Borst et al., "Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass and Without Interruption of Native Coronary Flow Using a Novel Anastomosis Site Restraining Device ('Octopus')," JAAC Vol. 27, No. 6, May 1996:1356-64	
	C16	C. Borst et al., entitled "Regional Cardiac Wall Immunobilization for Open Chest and Closed Chest Coronary Artery Bypass Grafting on the Beating Heart: The 'Octopus' Method," Circulation, (Oct. 15, 1995) vol. 92, No. 8 supplemental I,I-177.	
	C17	Bugge, M., "A New Internal Mammary Artery Retractor," Thorac. Cardiovasc Surgeon 38, pgs. 316-17 (1990)	
	C18	Buffolo, E., et al., "Direct Myocardial Revascularization Without Cardiopulmonary Bypass," Thorac. Cardiovasc. Surgeon, 33 (1985) pgs. 26-29.	
	C19	Calafiore, A. M., et al., "Minimally Invasive Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery, 62:1545-8, 1996	
	C20	Calvin, I. F. & Newman, D.C., "Circumflex Exposure Using a Cardiac Sling," Ann Thorac Surg 1990:49:833-4	
	C21	Campalani, G., M.D., et al., "A New Self-Retaining Internal Mammary Artery Retractor," J. Cardiovas. Surg. 28, 1987, pgs. 347-348.	
	C22	Chaux, A. and Blanche, C., "A New Concept in Sternal Retraction: Applications for Internal Mammary Artery Dissection and Valve Replacement Surgery," Ann. Thorac. Surg. 42, October 1986, pgs 473-474.	
	C23	Cohen, A.S., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 62:1884-85	
	C24	Cutler, B.S. and Cantelmo, N.L., "New Use for an Old Clamp," Archives of Surgery - Volume 115, 1136-37, September 1980	
	C25	Delacroix-Chevalier Surgical Instruments, IMA Saving Packages Brochure.	

EXAMINER	DATE CONSIDERED	13
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) (Use several sheets if necessary)	ATTY. DOCKET NO. GUID-034	SERIAL NO. 10/749,061
	Confirmation Number	Unassigned
	APPLICANT	Geoffrey H. Willis
	FILING DATE December 30, 2003	GROUP Unassigned

C26	DelRossi, A J and Lemole, GM, "A New Retractor to Aid in Coronary Artery Surgery," The Annals of Thoracic Surgery, Vol. 36, No. 1, 101-102, July 1983.
C27	DONALD, I., "Snake Flexible Arm" British Medical Journal, Oct. 19, 1968, p. 170.
C28	English abstract for Russian Patent No. SU 938967.
C29	Fanning, W. J. et al., "Reoperative Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass," The Annals of Thoracic Surgery, Vol. 55, No. 2, February 1993, pgs. 486-489.
C30	Favaloro, R. G., et al. "Direct Myocardial Revascularization by Saphenous Vein Graft," The Annals of Thoracic Surgery, Vol. 10, No. 2, August 1970, pgs. 97-111.
C31	Fonger, J. D., et al., "Enhanced Preservation of Acutely Ischemic Myocardium with Transeptal Left Ventricular Assist," The Annals of Thoracic Surgery, Vol. 57, No. 3, March, 1994, pgs. 570-575.
C32	Gacioch, G. M., MD, et al., "Cardiogenic Shock Complicating Acute Myocardial Infarction: The Use of Coronary Angioplasty and the Integration of the New Support Device into Patient Management," Journal of the American College of Cardiology, Vol. 19, No. 3, March 1, 1992.
C33	Green, GE., "Technique of Internal Mammary-Coronary Artery Anastomosis," The Journal of Cardiovascular Surgery, 78:455-79, 1979.
C34	Grundeman et al., "Vertical Displacement of the Beating Heart by the Octopus Tissue Stabilizer: Influence on Coronary Flow", Ann Thorac Surg 1998; 65: 138-152
C35	Grundeman et al., "Hemodynamic Changes During Displacement of the Beating Heart by the Utrecht Octopus Method", Ann Thorac Surg 1997; 66:576-579
C36	Guzman, F. M.D., "Transient Radial Nerve Injury Related to the Use of A Self Retraining Retractor for Internal Mammary Artery Dissection," J. Cardiovasc. Surg. 30, 1989, pgs. 1015-1016.
C37	Hasan, R. I., et al., "Technique of Dissecting the Internal Mammary After Using The Moussalli Bar," European Journal of Cardio Thoracic Surgery, 4:571-572, 1990
C38	Itoh, Toshiaki, M.D., et al., "New Modification of a Mammary Artery Retractor," Ann. Thorac. Surg. 9, 1994; 57:1670-1.
C39	Jansen et al., "Experimental Off-Pump Grafting of a Circumflex Branch via Sternotomy Using a Suction Device", Ann Thorac Surg 1997; 63:S93-6
C40	Jansen et al., "Off-Pump Coronary Bypass Grafting: How to Use the Octopus Tissue Stabilizer," Ann Thorac Surg 1998; 66:576-9
C41	Japanese Journal of Thoracic Surgery, vol. 42, no. 2, 1989
C42	Japanese Article "Heart Retractor"
C43	Janke, W. H., "Heart Support for Coronary Bypass Surgery Involving the Circumflex Artery System," The Journal of Thoracic and Cardiovascular Surgery, pgs 883-884
C44	Kolessov, V.I., M.D., "Mammary Artery-Coronary Artery Anastomosis as Method of Treatment for Angina Pectoris," Thoracic and Cardiovascular Surgery, Vol. 54, No. 4, October, 1967, pgs. 535-544.
C45	Kazama, S. et al., "Fabric Heart Retractor for Coronary Artery Bypass Operations," The Annals of Thoracic Surgery, 55:1582-3, 1993.
C46	Kresh, J. Y., et al., "Heart-Mechanical Assist Device Interaction," Trans. Am. Soc. Artif. Intern. Organs, Vol. XXXII, 1986, pgs. 437-443.
C47	Lavergne, et al., "Transcatheter Radiofrequency Ablation of Atrial Tissue Using a Suction Catheter," PACE, Vol. 12, January 1989, Part II, pgs. 177-186

EXAMINER	DATE CONSIDERED	14
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

 <p>INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>Use several sheets if necessary</i></p>		ATTY. DOCKET NO. GUID-034	SERIAL NO. 10/749,061
		Confirmation Number Unassigned	
		APPLICANT Geoffrey H. Willis	
		FILING DATE December 30, 2003	GROUP Unassigned
C48	Lonn, U., M.D., et al., "Coronary Artery Operation Supported by the Hemopump: An Experimental Study on Pigs," The Annals of Thoracic Surgery, Vol. 58, No. 1, July, 1994, pgs. 516-523.		
C49	Matsuura, A., et al., "A New Device for Exposing the Circumflex Coronary Artery," The Annals of Thoracic Surgery, 59:1249-50, 1995, pgs. 1249-1250.		
C50	McGee, M. G., et al., "Extended Clinical Support with an Implantable Left Ventricular Assist Device," Trans. Am. Soc. Artif. Intern. Organs, Vol. XXXV, 1989, pgs. 614-616.		
C51	McKeown, P.P. et al., "A Modified Sternal Retractor for Exposure of the Internal Mammary Artery," Ann. Thorac. Surg. 32 (1981) 619.		
C52	Ochsner, J. L., et al., "Surgical Management of Diseased Intracavitary Coronary Arteries," The Annals of Thoracic Surgery, Vol. 38, No. 4, July, pgs. 356-62, October 1984.		
C53	Parsonnet, V. MD, et al., "Graduated probes for Coronary Bypass Surgery," The Journal of Thoracic and Cardiovascular Surgery, vol. 68, No. 3, 424-26 (Sept. 1974)		
C54	Parsonnet, V. MD, et al., "Self - Retaining Epicardial Retractor for Aortocoronary Bypass Surgery," The Journal of Thoracic and Cardiovascular Surgery, 629-30 1979.		
C55	Pfister, A. J. M.D., et al., "Coronary Artery Bypass Without Cardiopulmonary Bypass," The Annals of Thoracic Surgery, Vol. 54, No. 6, December 1992, pgs. 1085-1092.		
C56	Phillips, Steven J., M.D. et al., "A Versatile Retractor for Use in Harvesting the Internal Mammary Artery and Performing Standard Cardiac Operations," J. Thorac. Cardiovasc. Surg. (1989; 97:633-5).		
C57	Pilling Surgical Instruments, A Rusch International Company Brochure.		
C58	Pittman, John, M.D., et al., "Improved Visualization of the Internal Mammary Artery with a New Retractor System," Ann. Thorac. Surg., 1989; 48:869-70.		
C59	Riahi, M., et al., "A Simple Technique and Device to Provide a Bloodless Operative Field in Coronary Artery Surgery Without Cross-Clamping the Aorta," The Journal of Thoracic and Cardiovascular Surgery, Vol. 66, No. 6, December 1973, pgs. 974-978.		
C60	Richenbacher, W. E., MD, et al., "Current Status of Cardiac Surgery: A 40-Year Review," Journal of American College of Cardiology, Vol. 14, No. 3, pgs. 535-544.		
C61	Robicsek, F., "Aortic Spoon-Jaw Clamp for Aorto-Saphenous Vein Anastomosis," J. Card. Surg., 1995; 10:583-585		
C62	Robinson, M. C., et al., "A Minimally Invasive Surgical Method for Coronary Revascularization - Preliminary Experience in Five Patients," Circulation, October 15, 1995, Vol. 92, No. 8, 1-176.		
C63	Rousou, J. et al., "Cardiac Retractor for Coronary Bypass Operations," Ann Thorac. Surg, 1991; 52:877-8		
C64	Roux, D., M.D. et al., "Internal Mammary Artery Dissection: A Three Dimensional Sternal Retractor," J. Cardiovasc. Surg., 1989; 30:996-7		
C65	Roux, D., M.D. et al., "New Helper Instrument in Cardiac Surgery," Ann. Thorac. Surg., 1989, 48:595-596		
C66	Ruzevich, S. A., et al., "Long-Term Follow-Up of Survivors of Postcardiotomy Circulatory Support," Trans. Am. Soc. Artif. Intern. Organs, Vol. XXXIV, 1988, pgs. 116-124.		
C67	Scholz, K. H., et al., "Transfemoral Placement of the Left Ventricular Assist Device 'Hemopump' During Mechanical Resuscitation," Thoracic and Cardiovascular Surgeon, Vol. 38 (1990) pgs. 69-72.		
C68	Splittergerber et al., "Exposing the Circumflex Coronary Artery: The Heartflip Technique," Ann Thorac Surg. 1996;61:1019-20		
C69	Stevens, et al., "Closed Chest Coronary Artery Bypass With Cardioplegic Arrest in the Dog," 67th Scientific Session, 238, I-251.		

EXAMINER	DATE CONSIDERED	15
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO. GUID-034	SERIAL NO. 10/749,061
		Confirmation Number	Unassigned
		APPLICANT Geoffrey H. Willis	
		FILING DATE December 30, 2003	GROUP Unassigned
	C70	Takahashi et al., "A New Instrument for Immobilization and Hemostasis During Minimally Invasive Direct Coronary Artery Bypass ('MIDCAB doughnut'): Experimental Study", J Card Surg 1997; 12:185-189	
	C71	Trapp, et al., "Placement of Coronary Artery Bypass Graft without Pump Oxygenator," Journal of the Society of Thoracic Surgeons and The Southern Thoracic Surgeons Assn. vol. 19, no.1, January 1975	
	C72	Trapp W.G., "To Use or Not To Use the Pump Oxygenator in Coronary Bypass Operations," The Annals of Thoracic Surgery, Vol. 19, No. 1, January, 1975, pgs. 108-109.	
	C73	USSC Cardiovascular Thora-Lift™, United States Surgical Corporation, Norwalk, Connecticut, Product Brochure	
	C74	Vincent, J.G., "A Compact Single Post Internal Mammary Artery Dissection Retractor," Eur. J. Cardio-Thor. Surg. 3 (1989) 276-277	
	C75	Westaby, S., "Coronary Surgery Without Cardiopulmonary Bypass," British Heart Journal Vol 73 pgs. 203-205, 1995	
	C76	Westaby, S. et al., "Less Invasive Coronary Surgery: Consensus From the Oxford Meeting," The Annals of Thoracic Surgery, 62:924-31, 1996.	
	C77	Zumbro, G. L. et al., "A Prospective Evaluation of the Pulsatile Assist Device," The Annals of Thoracic Surgery, Vol. 28, No. 2, August 1979, pgs. 269-273.	

EXAMINER	DATE CONSIDERED	16
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		